



## The AMS-01 Aerogel Threshold Cherenkov counter

D. Barancourt<sup>a</sup>, F. Barao<sup>b,c</sup>, G. Barbier<sup>a</sup>, G. Barreira<sup>c</sup>, M. Buénerd<sup>a</sup>,  
G. Castellini<sup>d</sup>, E. Choumilov<sup>e</sup>, J. Favier<sup>b</sup>, N. Fouque<sup>b</sup>, A. Gougas<sup>f</sup>,  
V. Hermel<sup>b</sup>, R. Kossakowski<sup>b</sup>, G. Laborie<sup>a</sup>, G. Laurenti<sup>g</sup>, S.-C. Lee<sup>f</sup>,  
F. Mayet<sup>a,\*</sup>, B. Meillon<sup>a</sup>, Y.-T. Oyang<sup>f</sup>, V. Plyaskin<sup>e</sup>, V. Pojidaev<sup>e</sup>, C. Rossin<sup>a</sup>,  
D. Santos<sup>a</sup>, F. Vezzu<sup>a</sup>, J.P. Vialle<sup>b</sup>

<sup>a</sup>Institut des Sciences Nucléaires, CNRS/IN2P3, Université Joseph Fourier, 53 Avenue des Martyrs, F-38026 Grenoble cedex, France

<sup>b</sup>Laboratoire d'Annecy-le-Vieux de Physique des Particules, CNRS/IN2P3, F-74941 Annecy-le-Vieux cedex, France

<sup>c</sup>Laboratório de Instrumentação e Física Experimental de Partículas, Avenida Elias Garcia 14-1, 1000 Lisboa, Portugal

<sup>d</sup>INFN Sezione di Firenze, Largo E. Fermi 2, I-50125 Firenze, Italy

<sup>e</sup>Institute of Theoretical and Experimental Physics, B. Cheremushkinskaya Ul, Moscow, Russian Federation

<sup>f</sup>Institute of Physics, Academia Sinica, Taipei 115, Taiwan, ROC

<sup>g</sup>University of Bologna, INFN Sezione di Bologna, Via Irnerio 46, I-40126 Bologna, Italy

Received 3 November 2000; accepted 19 December 2000

### Abstract

The Alpha Magnetic Spectrometer in a precursor version (AMS-01), was flown in June 1998 on a 51.6° orbit and at altitudes ranging between 320 and 390 km, on board of the space shuttle Discovery (flight STS-91). AMS-01 included an Aerogel Threshold Cherenkov counter (ATC) to separate  $\bar{p}$  from  $e^-$  and  $e^+$  from  $p$ , for momenta below 3.5 GeV/c. This paper presents a description of the ATC counter and reports on its performances during the flight STS-91. © 2001 Elsevier Science B.V. All rights reserved.

PACS: 29.40.Ka; 07.87.+v; 95.55.Vj

Keywords: AMS experiment; Cherenkov detectors; Antiprotons

### 1. Introduction

The first phase of the AMS experiment (AMS-01) was achieved on board of the space shuttle Discovery, during 10 days in June 1998. The main

objective was to test the spectrometer's instrumentation in orbit, in preparation for the second phase that will take place on board of the International Space Station (ISS) for 3–5 years. During the shuttle flight, 100 million events were recorded, allowing the fluxes of several particle species ( $e^\pm$ ,  $p^\pm$ , He) to be measured [1].

The AMS-01 detector included a permanent magnet, a Time-of-Flight scintillation counter

\*Corresponding author. Tel.: +33-476-284-126; fax: +33-476-284-004.

E-mail address: frederic.mayet@isn.in2p3.fr (F. Mayet).

(TOF), a silicon tracker (TRK), anti-coincidence scintillation counters (ACC) and an Aerogel Threshold Cherenkov counter (ATC). A detailed description of the AMS spectrometer may be found in [2].

This paper describes the ATC counter and its performances during the flight on board of Discovery.

### 1.1. Role of ATC in AMS-01

One of the main purposes of the AMS Shuttle flight was to measure cosmic antiproton spectrum for momenta below 3.5 GeV/c (the ATC momentum threshold). Antiproton spectrum measurement, as well as positron spectrum, can be achieved by using the ATC counter:

- *Antiprotons*: The major background component to the  $\bar{p}$  sample is expected to come from misidentified electrons. Using the measured electron flux [1] and the previously measured  $\bar{p}$  flux [3], the signal to background ratio is estimated to be:  $\bar{p}/e^- \sim 10^{-3}-10^{-2}$  for the considered  $P$  range.

While TOF counters [4] ( $\Delta\beta/\beta \simeq 3.3\%$ ) allow the separation of  $\bar{p}$  and  $e^-$  below 1–1.5 GeV/c (Fig. 1), ATC extends this discrimination range to 3.5 GeV/c. Therefore,  $\bar{p}/e^-$  separation can profit from ATC redundancy up to 1–1.5 GeV/c and can rely on the ATC up to 3.5 GeV/c.

- *Positrons*: Positrons were also an important issue for AMS-01. They had to be discriminated from a much larger proton flux, with a typical ratio:  $p/e^+ \sim 10^3$ . Although the ATC design was not optimized for this selection,  $e^+/p$  discrimination could be achieved by using appropriate ATC cuts [1], as shown in Section 5.2.

### 1.2. Principle of the ATC

The principle of the ATC counter, used in AMS-01, is based on the Cherenkov effect to separate  $\bar{p}$  from  $e^-$  at low energy. Basic relations are recalled here for the reader's convenience. The number of photons created by the Cherenkov

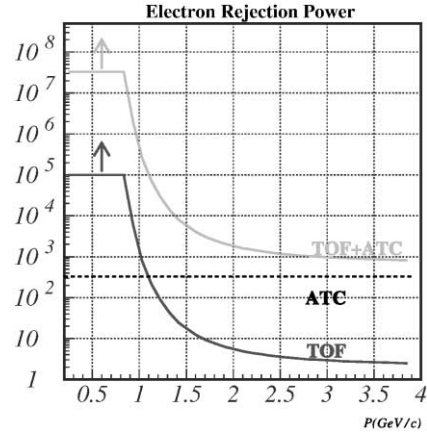


Fig. 1. AMS Rejection power as a function of  $P(\text{GeV}/c)$ . The curve labelled TOF shows the rejection against electrons for TOF alone, for which a mass threshold has been chosen at 0.5 GeV/c. The curve labelled ATC + TOF shows the rejection against electrons when taking into account both ATC and TOF. The improvement in the region from 1.5–2 GeV/c to 3.5 GeV/c is clearly seen. The curves have been obtained with a momentum resolution [5]  $\Delta P/P = 7\%$  and a velocity resolution [4]:  $\Delta\beta/\beta = 3.3\%$  together with an ATC rejection power  $R_{\text{ATC}} = 330$ . The curves are artificially truncated for rejections above  $10^5$ .

effect, in a material of refractive index  $n$ , is proportional to

$$N_\gamma \propto L \times Z^2 \times \sin^2 \theta = L \times Z^2 \times \left(1 - \frac{1}{n^2\beta^2}\right), \quad (1)$$

where  $L$  is the path length in the material,  $\theta$  is the Cherenkov angle,  $Z$  the charge of the incoming particle and  $\beta$  the particle velocity.

This leads to the following threshold values (in beta or momentum):

$$\beta_{\text{thres}} = 1/n, \quad P_{\text{thres}} = \frac{mc}{\sqrt{n^2 - 1}}, \quad (2)$$

where  $m$  is the mass of the particle at rest.

The aerogel refractive index ( $n = 1.035 \pm 0.001$ ) was chosen [6] to provide a high threshold and a sufficient number of photoelectrons (pe). The corresponding thresholds, for several particle species, are given in the following table.

Particle	$e^\pm$	$\pi^\pm$	$p$ ( $\bar{p}$ )	He ( $\bar{He}$ )
$P_{\text{thres}}$	1.91 MeV/c	0.52 GeV/c	3.51 GeV/c	14.0 GeV/c

Electrons and positrons in the 0.5–3.5 GeV/c range are far above their threshold, thus giving a full amplitude signal in ATC. In principle,  $p$  and  $\bar{p}$  of momentum less than 3.5 GeV/c, are not expected to give any Cherenkov signal, thus leading to  $\bar{p}/e^-$  separation. In the following, this value of 3.5 GeV/c will be referred to as the Cherenkov threshold, i.e. the ATC momentum threshold for antiproton selection.

## 2. Counter design

The elementary component of the ATC detector is the aerogel cell ( $11 \times 11 \times 8.8$  cm<sup>3</sup>, see Fig. 2), filled with eight 1.1 cm thick aerogel blocks.<sup>1</sup> The emitted photons are propagated through the aerogel material and reflected by three 250  $\mu$ m teflon layers surrounding the aerogel blocks. To reach the photomultiplier's window (Hamamatsu R-5900), a photon crosses on average, several meters of aerogel and undergoes several tens diffusive reflections.

The limiting processes [8] to the Cherenkov photon detection are the Rayleigh scattering ( $L_R \propto \lambda_\gamma^4$ ) and the absorption ( $L_{\text{abs}} \propto \lambda_\gamma^2$ ). These two effects decrease with increasing photon wavelengths. In order to extend the counter sensitivity to the UV range, a wavelength shifter was used and placed in the middle of each cell (see Fig. 2). It consists of a thin layer of tedlar (25  $\mu$ m) soaked in a 1-Phenyl-3-Mesityl-2-Pyrazolin (PMP) solution and placed into a polyethylene envelope (50  $\mu$ m), to avoid contact between PMP and the aerogel material. This allows a wavelength shift from around 300 nm up to 420 nm. It should be noticed that the maximum efficiency of the R5900 photomultiplier tube is at  $\lambda \sim 420$  nm.<sup>2</sup> The use of the shifter leads to an

overall increase in the number of pe estimated to be  $\sim 40\%$ .

The ATC counter was made of 168 such cells grouped in modules of  $2 \times 8$  cells enclosed in a carbon fibre structure, with one special half module made of 8 cells. The modules are arranged in 2 rectangular layers ( $8 \times 10$  cells in the upper one and  $8 \times 11$  cells in the lower one). The rectangular shape of the layers is designed to maximize the acceptance, and the second layers is shifted by half of a module width (Fig. 2) to minimize the loss of tracks passing between cells. The 2 layers are bolted, respectively, above and below a 5 cm thick honeycomb plate glued inside a frame of aluminium mounted on the unique support structure (USS) by four brackets.

The mechanical design of the ATC was an important issue of the AMS-01 experiment, due to the fact that the ATC was mounted directly to the USS independently of the rest of the detector.

The minimization of the total mass of the ATC counter was a crucial requirement to be fulfilled. The final mass was 120 kg.

The safety margins were carefully controlled by NASA. A finite element model (FEM) using the SYSTUS software<sup>3</sup> was developed to estimate the dynamical response of the mechanical structure to the extreme conditions of the shuttle launch. The lowest eigenfrequency of the ATC structure had to be as far as possible from the eigenmode of the USS being around 10 Hz. The FEM allowed to validate the whole mechanical design, showing the lowest eigenfrequency in the free-free configuration at 69.2 Hz (Fig. 3). In the constrained configuration with the four corners coupled to the USS, the FEM gave a value of 40 Hz well above the 10 Hz range of the USS. The value of the eigenfrequency in the free-free configuration

<sup>1</sup>Matsushita Electric Works, Ltd 1048 Kadoma, Kadomashi, Osaka 571.

<sup>2</sup>Hamamatsu Technical sheets, R-5900.

<sup>3</sup><http://www.systus.com/systusinternational.htm>.

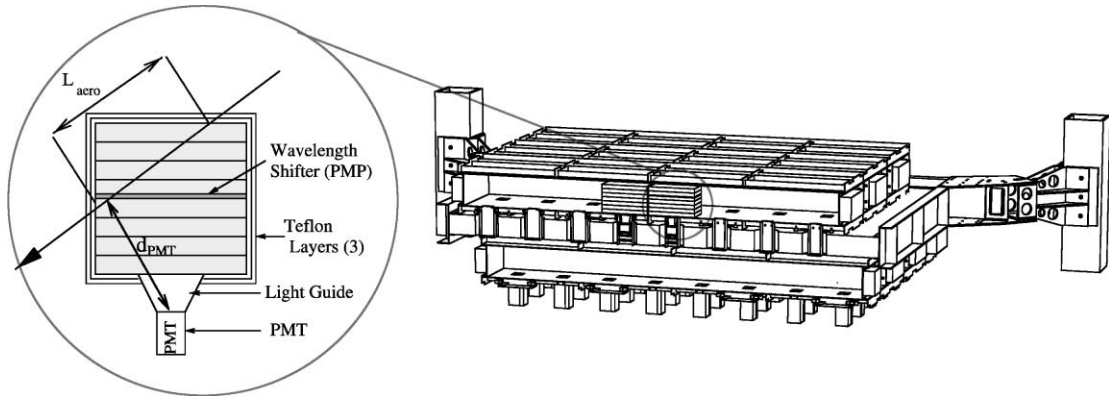


Fig. 2. View of the ATC detector design [7]. The 2 shifted layers (in  $x$  and  $y$  directions) can be seen together with the structure that allows the ATC to be directly mounted on the unique support structure. On the ATC cell view, the 8 blocks of aerogel are shown together with the 3 teflon layers and the PMP wavelength shifter lying in the middle of the cell. The impact parameter ( $d_{PMT}$ ) used in the ATC analysis, is defined as the shortest distance between the track and the center of the PMT window (see Section 4.4).

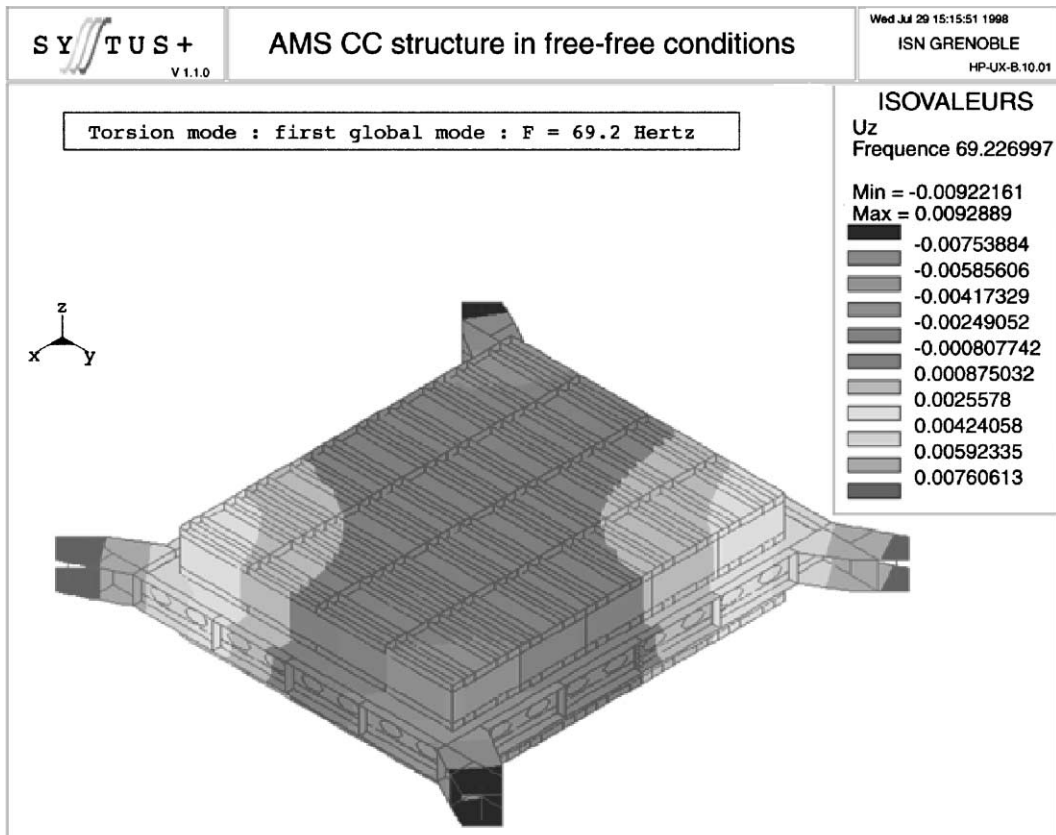


Fig. 3. Finite element calculation for the estimation of the dynamical response of the ATC. The lowest eigenfrequency is found at 69.2 Hz.

was confirmed by a “smart hammer” test performed<sup>4</sup> just before the integration of the ATC counter with the rest of the detector. The measured value was 66.9 Hz for the torsion mode and 75.8 Hz for the drum mode.

### 3. Electronics of the AMS-01 Aerogel Threshold Cherenkov counter

#### 3.1. Electronics principle

The electronics used for the ATC was derived from the one designed for the Time of Flight counter [9] of AMS-01.

An analog board integrates the PMT signal and compares it to a threshold. This threshold is fixed at a level above the photomultiplier and electronic noises. This way, the input signal (about 10 ns wide) is transformed into a logical signal (in the hundreds nanoseconds of range) whose length is proportional to the logarithm of the collected charge. This logical signal is then transferred to a digital card, and converted with a Time to Digital converter (TDC), as for the TOF signal.

The design principles of the TOF electronics were kept whilst adapting the impedances and dynamic range and including a base line restorer at the integrator output. Thanks to this design, the integrator offsets are automatically compensated and do not need to be calibrated. On the other hand, the use of TDC means the original charge signal can be corrupted by after-pulses [10] happening during the integration time ( $\sim 200$  ns).

The scheme of the electronics is presented in Fig. 4.

#### 3.2. Electronics channels

As mentioned above, each cell of the ATC was read by one photomultiplier tube (PMT). The PMTs of 2 consecutive diagonal cells in a module are grouped per electronic channel. There was 84 such channels. An analog board (SBBC), located close to the PMT, handled 2 channels. A logical

board (SFEC) handled 14 channels plus 2 trigger channels. For redundancy, there were two high voltage boards (SHVC boards) for each module.

#### 3.3. Tests and space qualification

The design of the AMS electronics has followed some of the space qualification rules. The choice of the electronic components has followed the rules for a manned flight.

The printed circuit boards have been designed and manufactured according to precise space constraints: strip width, pad size, board coating ... as described in the ESA standards.<sup>5</sup> Connectors and cables match “standard space” specifications. The PMT high voltage supply have a dual redundant architecture.

In addition to common electrical tests, the analog and high voltage units passed thermal and vacuum tests. The digital boards passed thermal and vibration tests under vacuum.

#### 3.4. ATC calibration

The calibration of the ATC counter has provided, for each cell, the coefficients of the expression relating the number of pe to the time measurement provided by the SFEC cards. All PMTs inside the same module were supplied with the same high voltage. The pre-selection allowed to limit the gain dispersion to less than 30%. Equation (3) was used to describe the electronic response. It is a good approximation on the small dynamic range of the ATC signal. It involves the PMT gains and the electronics characteristics

$$Q_e(i) = A \times g(i) \times e^{(t+C_2(j)/C_3)}, \quad (3)$$

where  $Q_e(i)$  are the detected charges in pe unit,  $A$  is the overall normalization factor (same value for all 168 cells),  $g(i)$  are the relative gains (average value equal to 1) for each PMT  $i$ ,  $C_3$  is the parameter governing the logarithmic behaviour of the front-end electronics and  $C_2(j)$  are the time “pedestals”, relative to each electronic channel  $j$ .

The coefficient  $C_3$  of the exponential could not be correctly measured card by card before the

<sup>4</sup>S.C.S Controlli e Sistemi, Viale Umbria, 36 20135 Milano, Italia.

<sup>5</sup>ESA/SCC B2 MIL-STD-975.

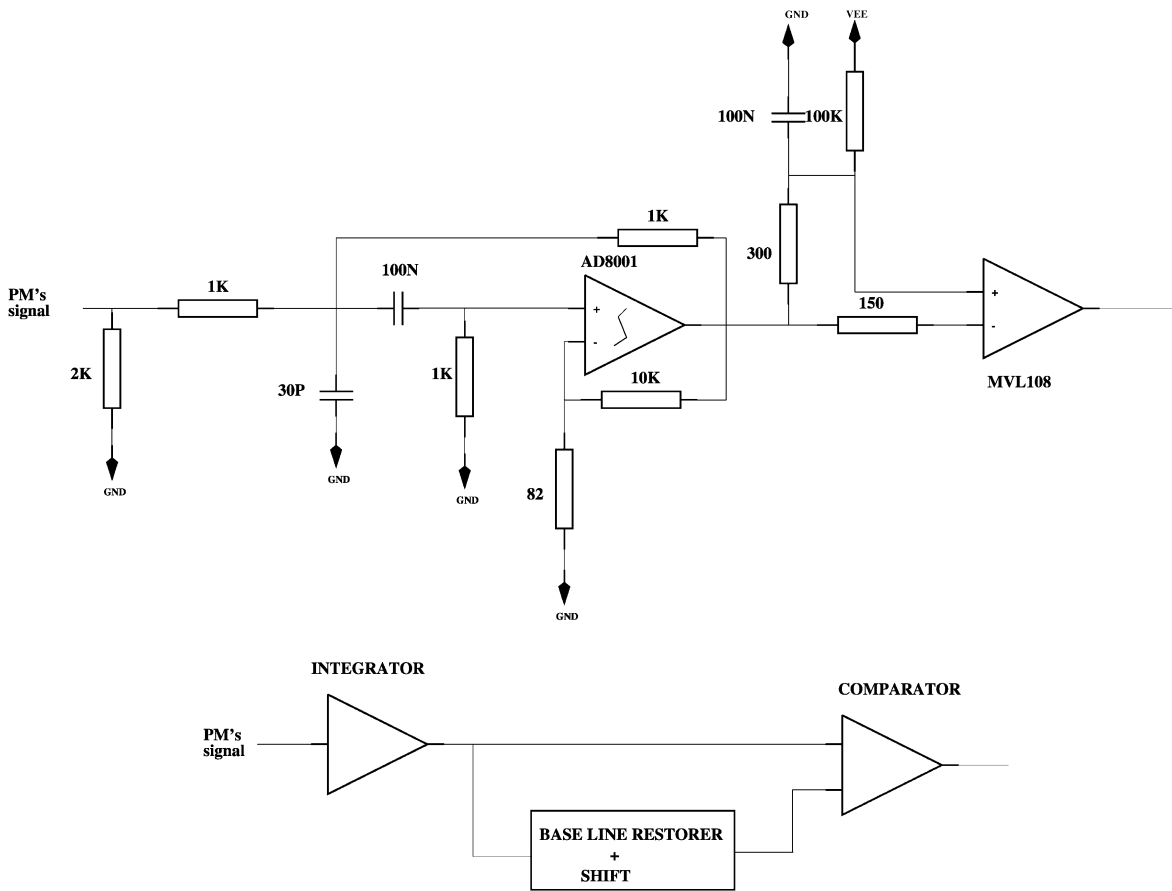


Fig. 4. Scheme of the ATC counter electronics.

ATC mounting because its value depends on the final ATC cabling and PMT input capacitances on the amplifiers. Measurements on the ATC after the flight have shown that, for all amplifiers,  $C_3$  was equal to 220 ns within  $\pm 2\%$ .

The  $C_2$  coefficients are taken from the raw data time distributions. The final coefficients were obtained, from the flight data themselves. Selecting protons below Cherenkov threshold allow to measure the peak at one pe (coming from the residual scintillation light of 0.5 pe on average per cell) with high statistics. The main problem was that 25–30% of the R-5900 PMTs were not giving a nice single pe distribution. Nevertheless, the high

number of detected protons has permitted the 168 gains to be equalized.

As described below (see Section 4.1), the ATC luminosity (number of pe for  $\beta \simeq 1$ ) is obtained using high  $\beta$  protons from the flight STS-91.

### 3.4.1. Front-end electronics thresholds

Each front-end electronic channel has a threshold. Although they were tested to be very similar from channel to channel (dispersion below 10%), the differences of PMT gains induced a dispersion over the thresholds calculated in pe units. Fig. 5 shows the distribution of the 168 thresholds. The

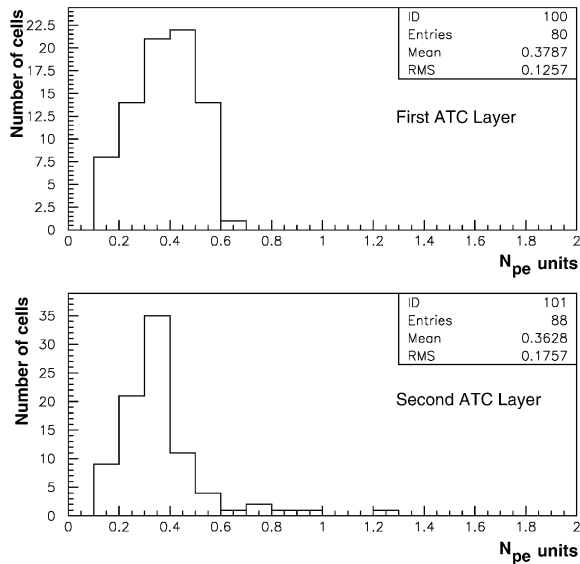


Fig. 5. Distribution of the 168 thresholds in pe units, for the upper and lower ATC plane. The spreading reflects the dispersion of the PMT gains. Note that the same value of high voltage was used for a group of 16 pre-selected PMTs.

mean value is seen to be 0.37 pe. This threshold was taken into account, using a Poisson distribution, for estimating the number of pe for  $\beta \simeq 1$ . The correction is 15% on average.

#### 4. Detector performances

In this section, the ATC performances during the flight STS-91 is discussed, namely the average number of pe for  $\beta \simeq 1$  particle (Section 4.1), the observed ageing problem (Section 4.2), the signal for protons and heliums (Section 4.3), the dependence of the value of  $n_{pe}$  on the impact parameter (Section 4.4), as well as a cross-check of the refractive index of the aerogel medium (Section 4.5).

##### 4.1. Absolute number of photo-electrons ( $n_{pe}$ ) for $\beta \simeq 1$ particles

The method used [11] to evaluate  $n_{pe}$  in each cell crossed by a  $\beta \simeq 1$  particle (electron or proton above the Cherenkov threshold) consists of comparing the number of “no signals” in the cell with the number expected by the Poisson distribu-

tion for a given average  $n_{pe}$ . It must be outlined that this method is the closest to the ATC operation. By using particles selected as high energy ones during the flight STS-91 (protons with  $P \geq 15$  GeV/c, see Section 5.1 for a definition of this control sample), by ensuring that the particle is really crossing the cell (track qualities selection) and by taking into account the charge thresholds of the electronics, the following average  $n_{pe}$  is obtained:

$$n_{pe} = 2.9 \quad \text{for the upper plane}$$

$$n_{pe} = 3.3 \quad \text{for the lower plane.}$$

After correcting for electronic thresholds and the average  $\beta$  effect of the proton sample, these values become

$$n_{pe} = 3.51 \pm 0.02 \quad \text{for the upper plane}$$

$$n_{pe} = 4.02 \pm 0.02 \quad \text{for the lower plane.}$$

##### 4.2. ATC monitoring and the ageing problem

The ATC has suffered a fast degradation with time of its Cherenkov yield. The average  $n_{pe}$  by cell (for  $\beta \simeq 1$ ) was about 5 pe/cell in November 97. During the flight, this value had decreased to 3.1 pe/cell and at the November 98 CERN test beam, it was down to 1.5 pe/cell, which corresponds to an equivalent life time of about 300 days. During the same period, a reference cell at room temperature has decreased with a much larger life time of 1044 days. A study of the effects of various materials on the aerogel was performed before and after the STS-91 flight [12,13]. It was found that the aerogel is insensitive to the presence of water vapours. On the contrary, PMP deposited directly on the surface of the aerogel induces a fast degradation of the light transmission, specially for wavelengths in the blue range (465 nm). This evolution was stopped by cooling the aerogel, which indicates clearly that the effect is due to a chemical reaction. The PMP in the cell was thus isolated from the aerogel by a plastic bag which was efficient enough, as demonstrated by the reference cell. However, the aerogel was not isolated from possible organic vapours from the black RTV used for the light tightening of

the ATC. This is the most probable source of the ageing problem observed.<sup>6</sup>

The ATC counter was continuously monitored during the flight STS-91. Only a few cells showed some electronic problems and were discarded from the analysis [11]. The effective acceptance was eventually 93% of the geometrical one.

#### 4.3. ATC signal for protons and heliums

Fig. 6 shows the number of pe ( $n_{\text{pe}}^{\text{tot}}$ ) as a function of  $P(\text{GeV}/c)$ , for particles identified by AMS as helium (upper part) and proton (lower part). As expected, the number of pe is proportional (Eq. (1)) to the square of the particle's charge. Above the Cherenkov threshold, the number of pe follows the expected dependence.

$$n_{\text{pe}} \propto (1 + m^2/P^2). \quad (4)$$

Far above threshold, where the Cherenkov yield saturates, the signal ratio for helium and proton particles is in good agreement with the expected factor of 4.

A residual light can be observed (see Fig. 6) below the Cherenkov threshold. It can be evaluated to be  $\sim 1$  pe for protons, summed over the 2 ATC layers. This is due to  $\delta$ -rays, to Cherenkov effect in the wavelength shifter component, and to scintillation. Below  $\sim 1 \text{ GeV}/c$  an increase in the residual light due to scintillation is observed (see detailed view in Fig. 6). The residual light is also slightly increasing between 1 and 3  $\text{GeV}/c$ . This may be explained<sup>7</sup> by  $\delta$ -rays, and the Cherenkov effect in the polyethylene and teflon layers. This effect will cause the proton rejection power (for  $e^+$  selection), see Eq. (6), to decrease between 1 and 3  $\text{GeV}/c$  (see Fig. 13). On the other hand it will only slightly affect the  $\bar{p}$  efficiency (Section 5.1).

#### 4.4. Distance of track to the PMT

The shortest distance between the track and the center of the PMT window (see Fig. 2), is defined

<sup>6</sup>Several studies [12,13] have been made to investigate this ageing issue in the visible range, using the same aerogel material.

<sup>7</sup>We estimate the threshold for the Cherenkov effect in these materials to be  $\sim 1 \text{ GeV}/c$ . At 2.7  $\text{GeV}/c$  the  $n_{\text{pe}}$  will be 10% of the maximum value.

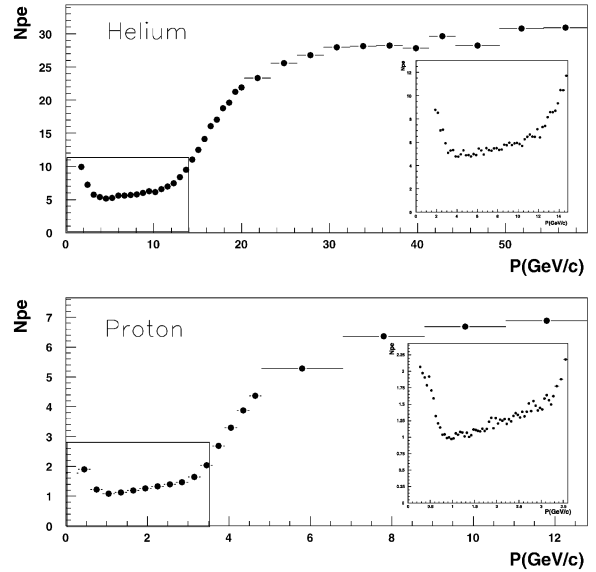


Fig. 6. Helium and proton spectra. The upper (lower) figure shows  $n_{\text{pe}}^{\text{tot}}$  vs.  $P(\text{GeV}/c)$  for helium (proton). A residual light (scintillation and Cherenkov effect out of the aerogel) can be observed below the Cherenkov threshold, see text.

as the impact parameter ( $d_{\text{PMT}}$ ). It turns out that this variable is strongly correlated with the cell signal.

In fact, the number of collected pe is expected to increase with decreasing impact parameter for several reasons.

- The probability of photons entering directly into the PMT window (without any reflections) is increased, which limits losses due to reflections.
- The path length of photons in aerogel is shorter on average leading to less absorption.
- For a very low impact parameter ( $d_{\text{PMT}} \leq 1 \text{ cm}$ ), the particle is expected to produce a large number of photons from the Cherenkov effect in the PMT window.

Fig. 7 shows the number of pe as a function of the impact parameter distance squared. The signal enhancement at low impact parameters is clearly visible and is observed to be  $\sim 6$  pe per layer. As it will be shown in Section 5.2, this variable is used to enhance the proton rejection when selecting positrons.



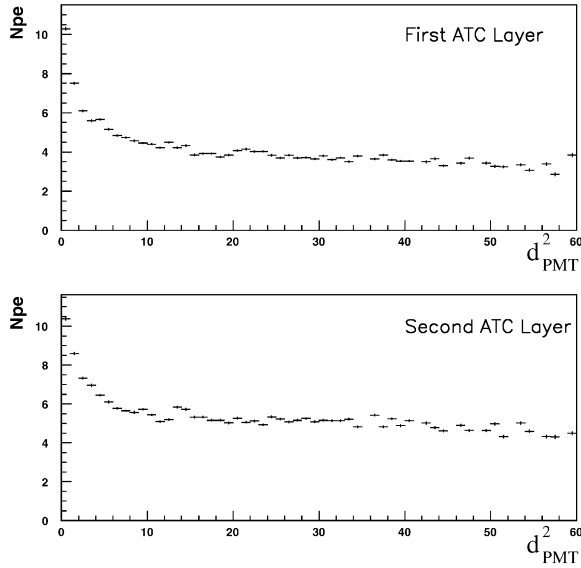


Fig. 7. Distance to PMT. The upper (lower) curve shows  $n_{pe}$  as a function of the square of the impact parameter ( $d_{PMT}^2$ , in  $cm^2$ ) for the first (second) ATC layer.

#### 4.5. Refractive index evaluation

Using the flight STS-91 data, it is possible to evaluate the refractive index of the aerogel. Above the Cherenkov threshold,  $n_{pe}$  is expected to be a linear function of  $1/\beta^2$ , as shown in Eq. 1. Fig. 8 shows the number of pe as a function of  $1/\beta^2$ , for the second ATC layer. Using the extrapolation of the background (residual light), one can extrapolate the observed threshold and thus evaluate the value of  $n$ .

$$n^2 = 1/\beta_{thres}^2 \simeq 1.07 \rightarrow n \simeq 1.0344. \quad (5)$$

Neglecting index dispersion, this value is in good agreement with the refractive index measured [6] before the flight ( $n = 1.035 \pm 0.001$ ) and the value given by the manufacturer<sup>1</sup> ( $n = 1.035$ ).

## 5. Particle selection with ATC

### 5.1. $\bar{p}/e^-$ discrimination

The discrimination of  $\bar{p}$  from  $e^-$  background is obtained using two offline conditions. Firstly, the

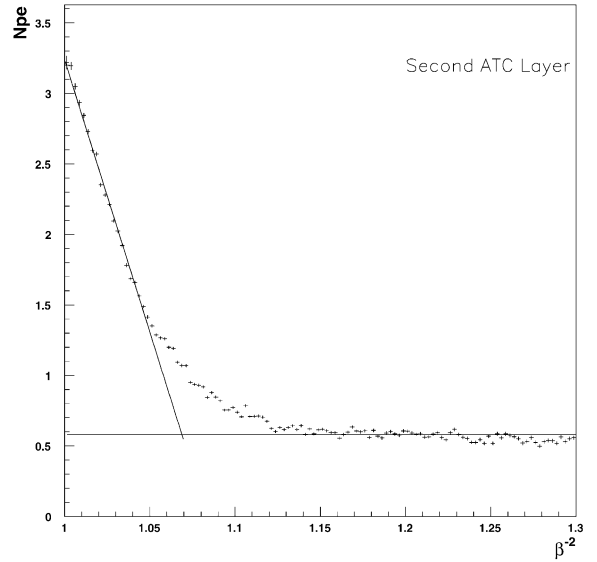


Fig. 8. Refractive index evaluation. Number of pe ( $n_{pe}$ ) in the second ATC layer against  $1/\beta^2$ . For  $1/\beta^2$  greater than 1.1 (corresponding to low momentum), the residual light can be observed when  $n_{pe}$  reaches a minimum. Below 1.1,  $n_{pe}$  is increasing with decreasing  $1/\beta^2$ . The extrapolation of the observed threshold leads to an evaluation of the refractive index.

particle must have crossed the 2 ATC layers. This requirement leads to an overall geometrical efficiency of 72%. Secondly, the particle must have not produced any signal in the ATC, leading to antiproton detection efficiency ( $\varepsilon_{\bar{p}}$ ) and electron rejection ( $R_{e^-}$ ) as discussed below.

Two control samples are being used to estimate  $\varepsilon_{\bar{p}}$  and  $R_{e^-}$ . Particles above the Cherenkov threshold (high energy protons near equator, with  $P \geq 1.5$  GeV/c and  $\beta \geq 0.99$ ) will have the same Cherenkov yield as electrons, whereas a sample of particles below the Cherenkov threshold (protons with low  $\beta$ ) is used to evaluate low energy antiproton Cherenkov yield. Figs. 9 and 10 show the distributions of  $n_{pe}$  for these two samples of particles.

In Fig. 11, the ATC rejection power is presented as a function of the magnetic latitude ( $\theta_{mag}$ ). It can be seen that the rejection is better near the equator, indicating that the sample of high energy particles is less contaminated since the geomagnetic cutoff [14] discards low energy cosmic particles. Thus we take advantage of the geomagnetic cutoff to select

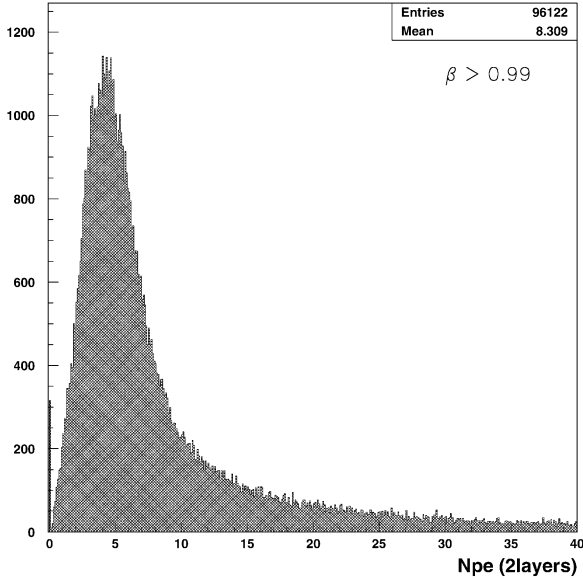


Fig. 9. Distribution of  $n_{pe}$  from particles above the Cherenkov threshold (with  $P \geq 15$  GeV/c and  $\beta \geq 0.99$  cuts) selected near magnetic equator. This is similar to the behaviour of  $e^-$ , which is always above the Cherenkov threshold. This sample is used to evaluate ATC rejection against electrons.

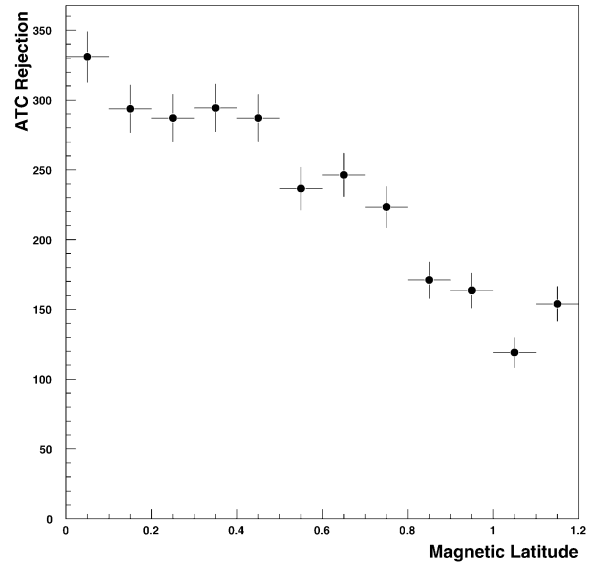


Fig. 11. ATC rejection ( $n_{pe} \leq 0.15$ ) against electrons as a function of magnetic latitude. The selected sample of  $\beta \approx 1$  particles shows a higher purity for low magnetic latitude (near equator) as there the geomagnetic cutoff is maximum.

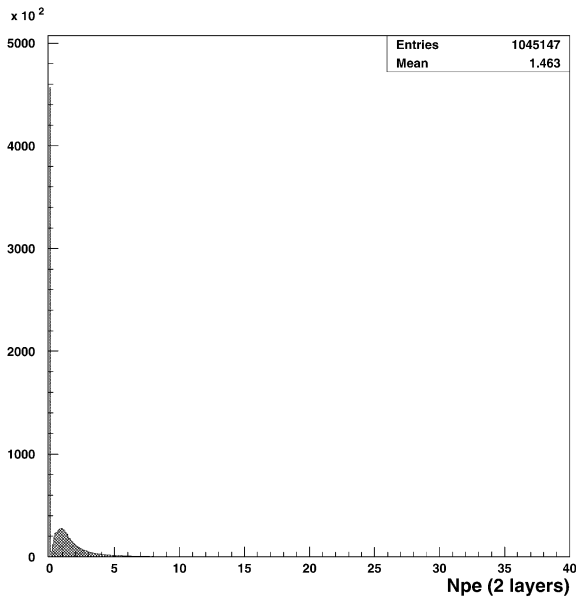


Fig. 10. Distribution of  $n_{pe}$  from particles below the Cherenkov threshold (low energy protons selected with  $P \leq 3.5$  GeV/c,  $\beta \leq 0.97$  and  $0.6 \leq M \leq 1.2$  GeV/c<sup>2</sup>). Low energy  $\bar{p}$  have the same behaviour in the ATC.

a sample of high energy particles with a small low energy component, by imposing  $\theta_{mag} \leq 0.5$  rad, where  $\theta_{mag}$  is the magnetic latitude evaluated with a shifted dipole model.

As shown in Fig. 9, most particles above the Cherenkov threshold give  $\sim 6$  pe (summed over the 2 ATC layers). A tail of higher numbers of pe, due to after-pulses in the PMT, can be observed. On the other hand, some percentage of  $e^-$  lead to a low signal in ATC. This is due to statistical fluctuations and is related to the  $e^-$  rejection. For a given cut on  $n_{pe}$ , the  $e^-$  rejection power ( $R_{e^-}$ ) is defined as

$$R_{e^-}(n_{cut}) = \mathcal{N}_{e^-} / \mathcal{N}_{e^-}(n_{pe} \leq n_{cut}). \quad (6)$$

Particles below the Cherenkov threshold are selected as protons of momentum less than 3.5 GeV/c and  $\beta$  less than 0.97. It can be noticed on Fig. 10 that most of the low energy protons do not give any signal. The residual light effect can be observed around 1 pe with a tail produced by  $\delta$ -rays, scintillation and after-pulses. For a given cut on pe number, the  $\bar{p}$  detection efficiency is defined as

$$\varepsilon_{\bar{p}}(n_{cut}) = \mathcal{N}_{\bar{p}}(n_{pe} \leq n_{cut}) / \mathcal{N}_{\bar{p}}. \quad (7)$$

Fig. 12 shows the antiproton efficiency as a function of  $P(\text{GeV}/c)$  for different cuts on  $n_{pe}$ . The electron rejection power is also shown for each cut. Based on Fig. 5 (distribution of electronic thresholds), an ATC cut for antiproton selection can be chosen as

$$n_{pe} \leq 0.15 \text{ pe.} \quad (8)$$

For this cut, the rejection is  $R_{e^-} \simeq 330$  and the efficiency is up to  $\varepsilon_{\bar{p}} \simeq 48\%$ , depending on the momentum (see Fig. 12).

As a conclusion, it can be said that the ATC provides a rejection power of  $\sim 330$  against electrons. This information is combined with Tracker and TOF measurements to determine  $\bar{p}/p$  up to 2–2.5  $\text{GeV}/c$ . Above this momentum, and up to 3.5  $\text{GeV}/c$ , the evaluation of  $\bar{p}/p$  is based on the single ATC data.

### 5.2. $e^+$ selection with ATC

The ATC counter has also been used to discriminate  $e^+$  from protons, although its design was not optimized for such a discrimination. Such a selection allows to extend  $e^+/(e^- + e^+)$  measurement up to 3.5  $\text{GeV}/c$ .

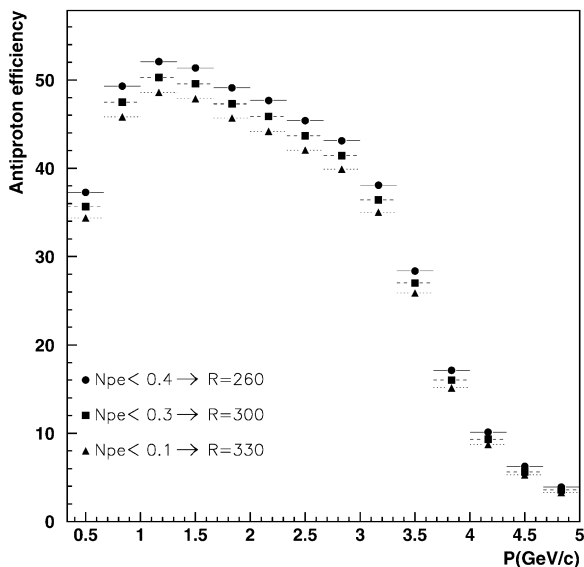


Fig. 12. ATC selection efficiency for protons (antiprotons) as a function of  $P(\text{GeV}/c)$  for different cuts on  $n_{pe}$ . The electron rejection ( $R$ ) power is also indicated for each cut.

Positrons are expected to deposit a Cherenkov signal in each ATC layer. On the other hand, protons below threshold do not give any Cherenkov signal in the aerogel material, but will potentially contaminate the  $e^+$  selection due to both non-Cherenkov signal of every cell (scintillation,  $\delta$ -rays) and to the Cherenkov radiation in other materials and in the PMT-windows. Furthermore, these physical signals can be artificially stressed by after-pulse effects on the PMT (see Section 3.1).

Positrons are then selected by requiring a path length in aerogel greater than 8 cm/layer (Fig. 2) and a number of pe greater than 2 pe/layer.

The efficiency so obtained is  $\varepsilon_{e^+} \sim 45\%$  with a proton rejection up to  $R_p \sim 150$ . The proton contamination coming from particles passing close to the PMT can be reduced with an appropriate cut on the impact parameter. Requiring the minimal impact parameter to be greater than 1.5 cm, sets the selection efficiency to  $\varepsilon_{e^+} \sim 41\%$  and the proton rejection up to  $R_p \sim 260$  (see Fig. 13).

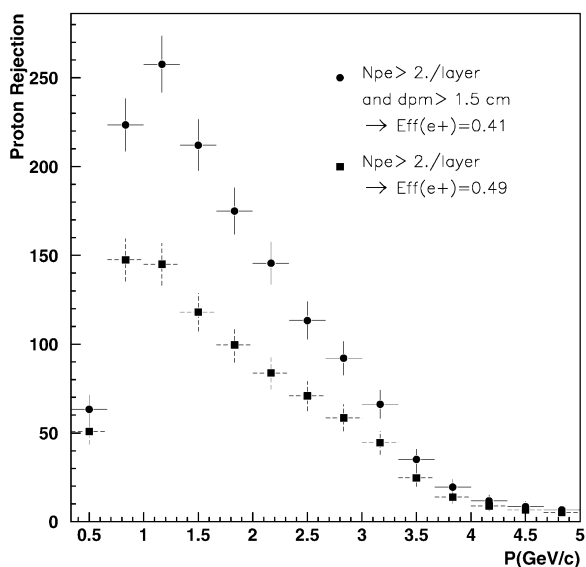


Fig. 13. ATC positron selection: proton rejection as a function of  $P(\text{GeV}/c)$  for the 2 sets of selection criteria. The positron efficiency ( $\varepsilon$ ) is also indicated for each cut. It can be noticed that in both cases the ATC proton rejection reaches a maximum near 1  $\text{GeV}/c$ , where the ATC proton signal is at minimum (see Fig. 6).

The proton rejection as a function of  $P(\text{GeV}/c)$  is shown in Fig. 13. It has a maximum value at 1  $\text{GeV}/c$ , where the ATC proton signal is minimum (see Fig. 6).

The ATC counter provides an efficient discrimination between  $e^+$  and  $p$  background, in the 0.5–3.5  $\text{GeV}/c$  range, which has been used for the AMS-01 lepton analysis [1].

## 6. Conclusion

The general behaviour of the AMS apparatus was satisfactory during its first test flight on board the space shuttle Discovery [2]. The ATC counter allowed  $\bar{p}/e^-$  separation with a rejection of 330 and an efficiency up to  $\sim 48\%$ , extending the  $\bar{p}/e^-$  separation range up to 3.5  $\text{GeV}/c$ . As a secondary result, it has been used, with appropriate cuts, to separate  $e^+$  from protons with a rejection up to 260 and an efficiency of  $\varepsilon_{e^+} \simeq 41\%$ .

## References

- [1] J. Alcaraz et al. (AMS Collaboration), Phys. Lett. B 461 (1999) 387 (hep-ex/0002048); J. Alcaraz et al. (AMS Collaboration), Phys. Lett. B 472 (2000) 215 (hep-ex/0002049); J. Alcaraz et al. (AMS Collaboration), Phys. Lett. B 484 (2000) 10.
- [2] J. Alcaraz et al. (AMS Collaboration), Phys. Rep., in preparation; see also G.M. Viertel, M. Capell, Nucl. Instrum. Meth. A 419 (1998) 295.
- [3] T. Sanuki et al., astro-ph/0002481.
- [4] E. Choumilov, private communication.
- [5] J. Alcaraz et al., The AMS Silicon Tracker: Performance results from STS-91, Contribution to ICRC, Salt Lake City, session OG.4.2.02, 1999.
- [6] A.K. Gougas et al., Nucl. Instr. and Meth. A 421 (1999) 249.
- [7] F. Mayet, Performance Results of the AMS-01 Aerogel Threshold Cherenkov, Proceedings of the 11th Rencontres de Blois, Blois, France, 1999, to be published (astro-ph/0002316).
- [8] R. Suda et al., Nucl. Instr. and Meth. A 406 (1998) 213.
- [9] D. Alvisi, Nucl. Instr. and Meth. A 437 (1999) 212.
- [10] A.G. Wright, Nucl. Instr. and Meth. A 433 (1999) 507.
- [11] F. Barao, J. Favier, F. Mayet et al., Analysis of the Aerogel Threshold Cherenkov data from AMS flight (STS-91), AMSnote-99\_10\_01, ISN-99.84, LAPP-EXP-99.06, November 1999.
- [12] M. Buénerd et al., AMS internal note, 1999, AMSnote-99\_11\_04.
- [13] J. Favier et al., AMS internal note, 2001, AMSnote-2001\_03\_05.
- [14] A.E. Sandström, Cosmic Ray Physics, North-Holland, Amsterdam, 1965.